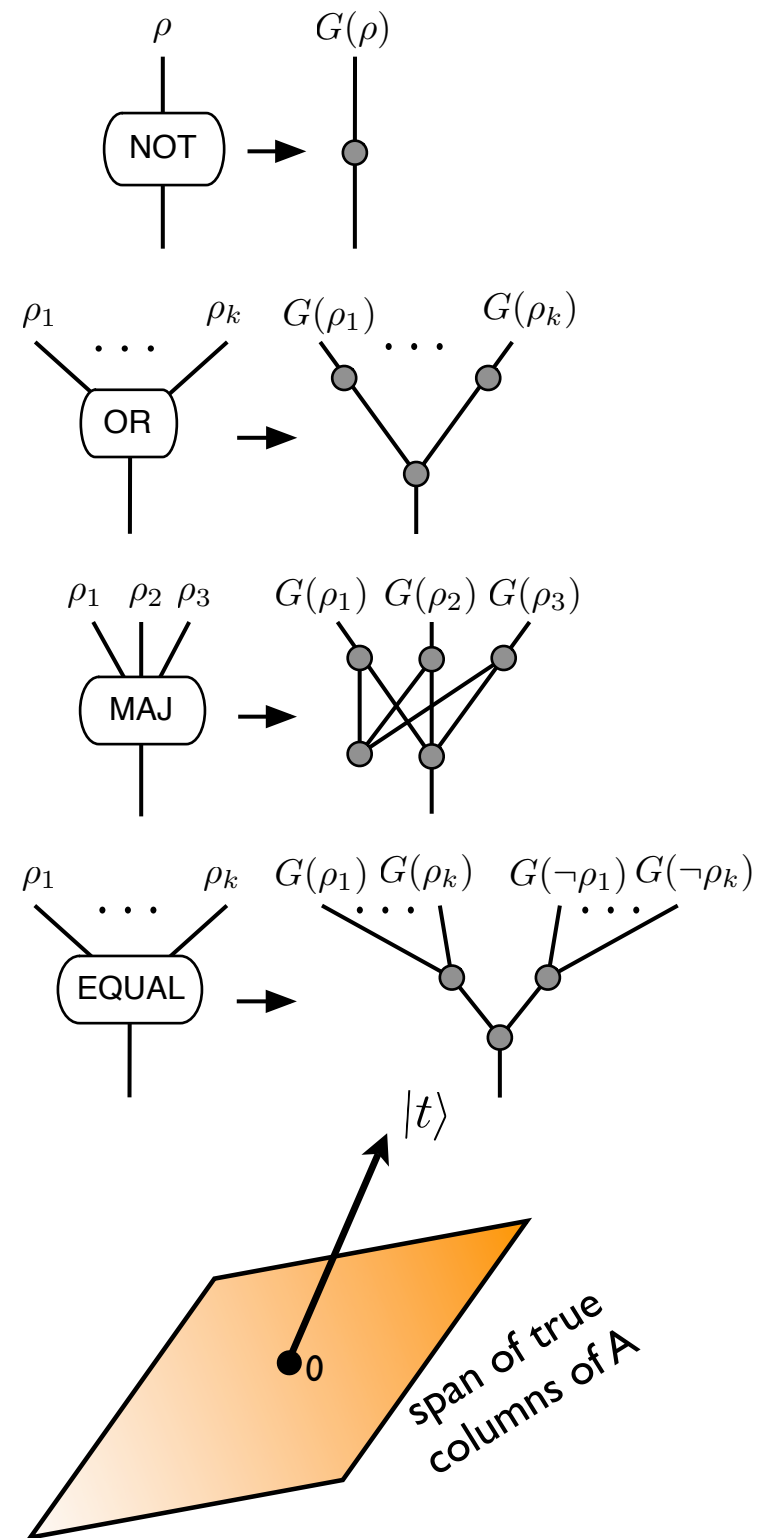


Span-program-based quantum algorithm for formula evaluation

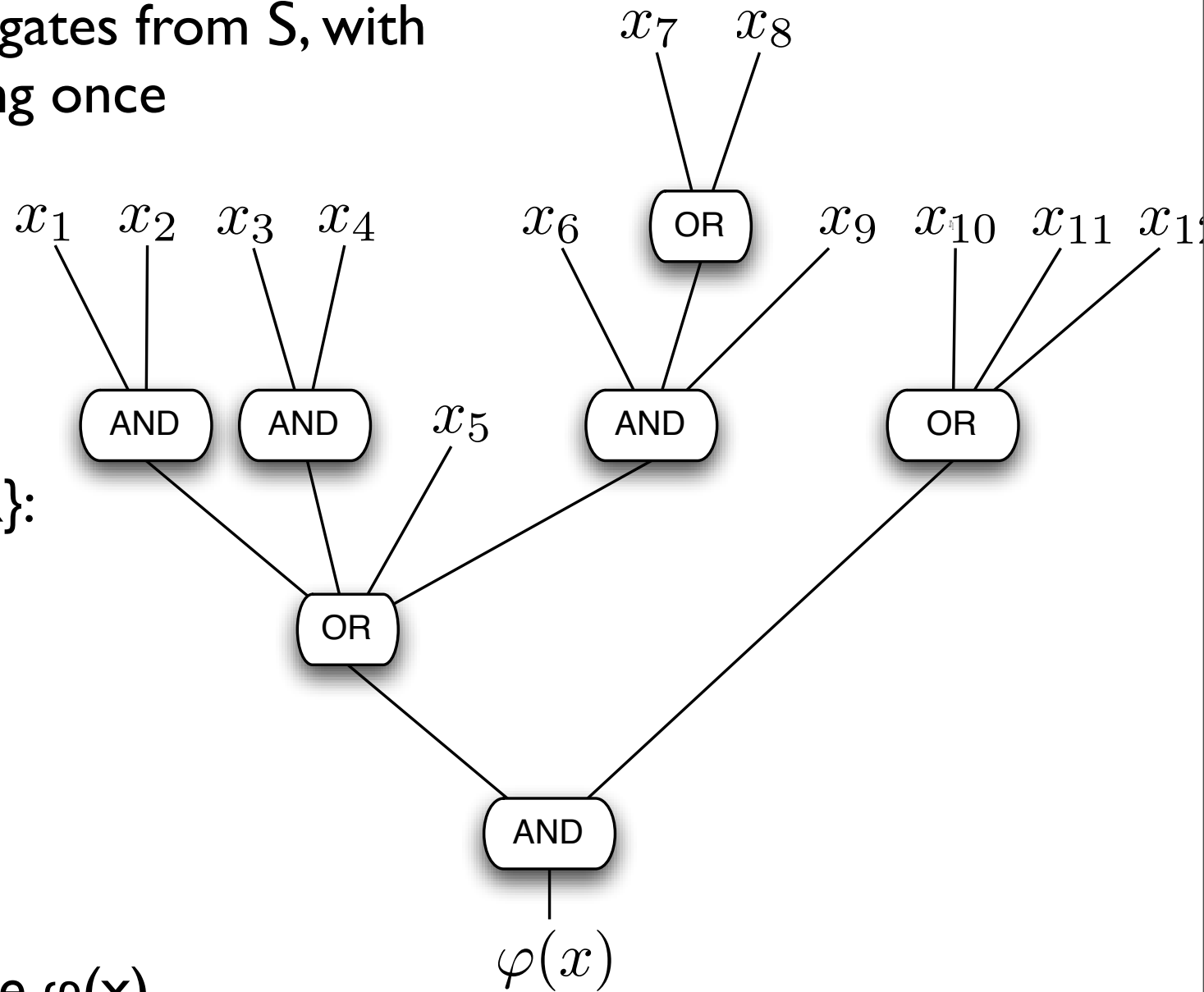
Ben Reichardt
Caltech

Robert Špalek
Google



Def: Read-once formula φ on gate set S
= Tree of nested gates from S , with
each input appearing once

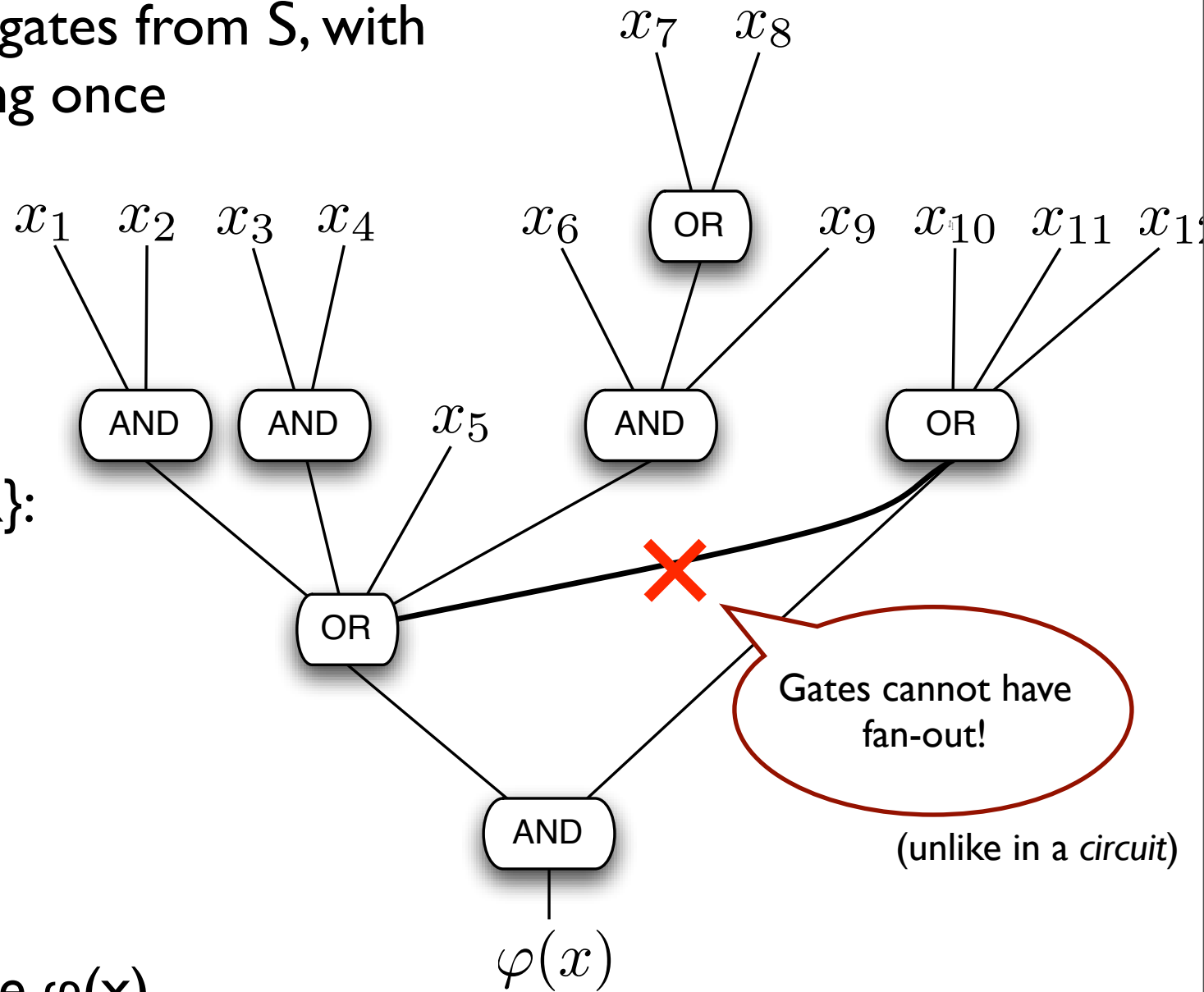
Ex: $S = \{\text{AND}, \text{OR}\}$:



Problem: Evaluate $\varphi(x)$.

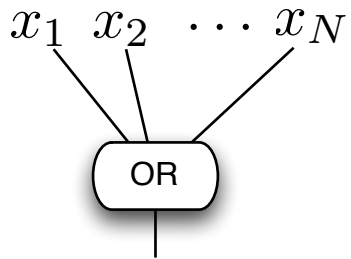
Def: Read-once formula φ on gate set S
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Ex: $S = \{\text{AND}, \text{OR}\}$:



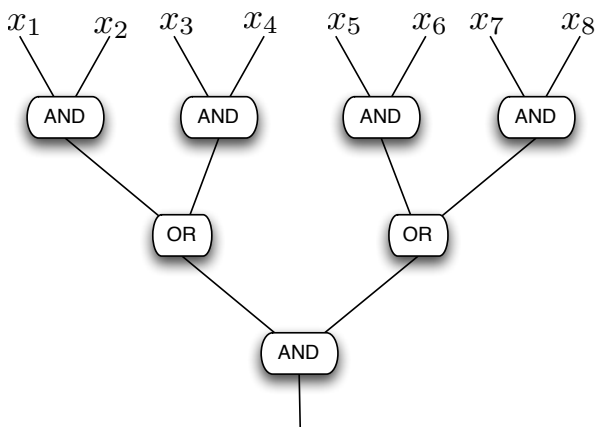
Problem: Evaluate $\varphi(x)$.

Classical complexity of formula evaluation



$\Theta(N)$

Balanced AND-OR

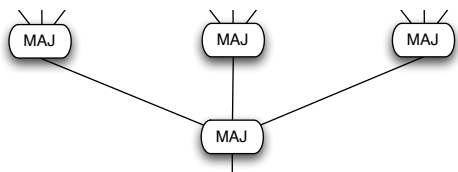


$\Theta(N^{0.753\dots})$
(fan-in two)

[Snir '85, Saks & Wigderson '86, Santha '95]

⋮

Balanced MAJ₃

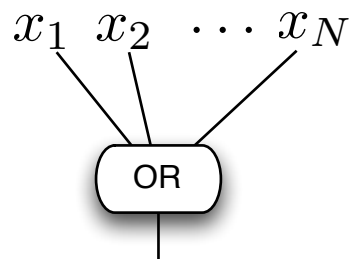


$\Omega((7/3)^{\text{depth}}) = R_2(f) = O((2.6537\dots)^{\text{depth}})$

[Jayram, Kumar, Sivakumar '03]

Classical

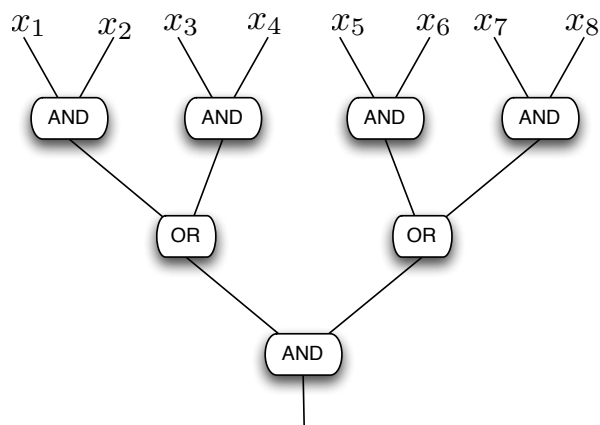
Quantum



$$\Theta(N)$$

$$\Theta(\sqrt{N}) \text{ [Grover '96]}$$

Balanced AND-OR



$$\Theta(N^{0.753\dots})$$

(fan-in two)

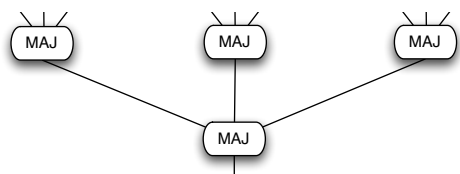
[S'85, SW'86, S'95]

$$\Theta(\sqrt{N})$$

[Farhi & Goldstone & Gutmann '07,
ACRŠZ '07]

⋮

Balanced MAJ₃



$$\Omega((7/3)^d),$$

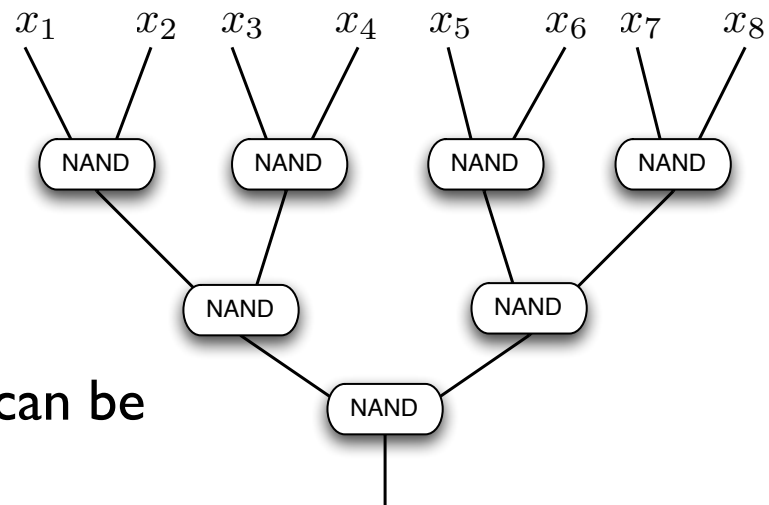
$$O((2.6537\dots)^d)$$

[JKS '03]

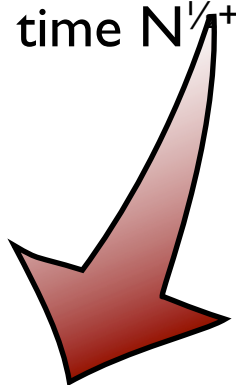
$$\Theta(2^d = N^{\log_3 2})$$

[RŠ '07]:

Two generalizations of [FGG '07] AND-OR algorithm:

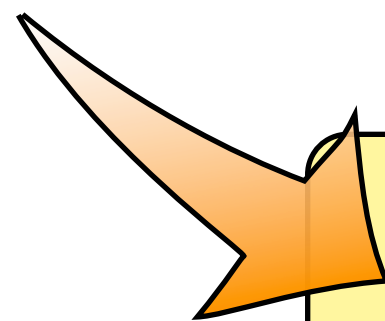


- **Theorem** ([FGG '07, CCJY '07]): A balanced binary AND-OR formula can be evaluated in time $N^{1/2+o(1)}$.



Unbalanced AND-OR [ACRŠZ, FOCS'07]

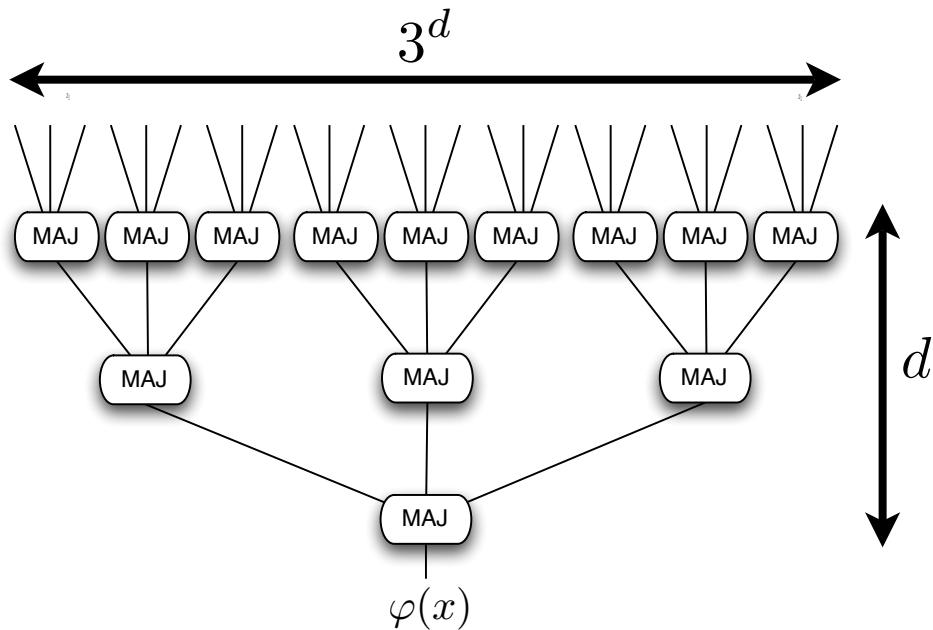
- **Theorem:**
 - An “approximately balanced” AND-OR formula can be evaluated with $O(\sqrt{N})$ queries (optimal for read-once!).
 - A general AND-OR formula can be evaluated with $N^{1/2+o(1)}$ queries.



Balanced, more gates [RŠ, STOC'08]

- **Theorem:** A balanced (“adversary-bound-balanced”) formula φ over a gate set including all three-bit gates (and more...) can be evaluated in $O(\text{ADV}(\varphi))$ queries (optimal!).

Recursive 3-bit majority tree



- Best quantum lower bound is $\Omega(\text{ADV}(\varphi) = 2^d)$ [LLS'05]
- Expand majority into {AND, OR} gates:

$$\text{MAJ}_3(x_1, x_2, x_3) = (x_1 \wedge x_2) \vee (x_3 \wedge (x_1 \vee x_2))$$
- \therefore {AND, OR} formula size is $\leq 5^d$
- \therefore $O(\sqrt{5^d}) = O(2.24^d)$ -query algorithm [FGG, ACRŠZ '07]

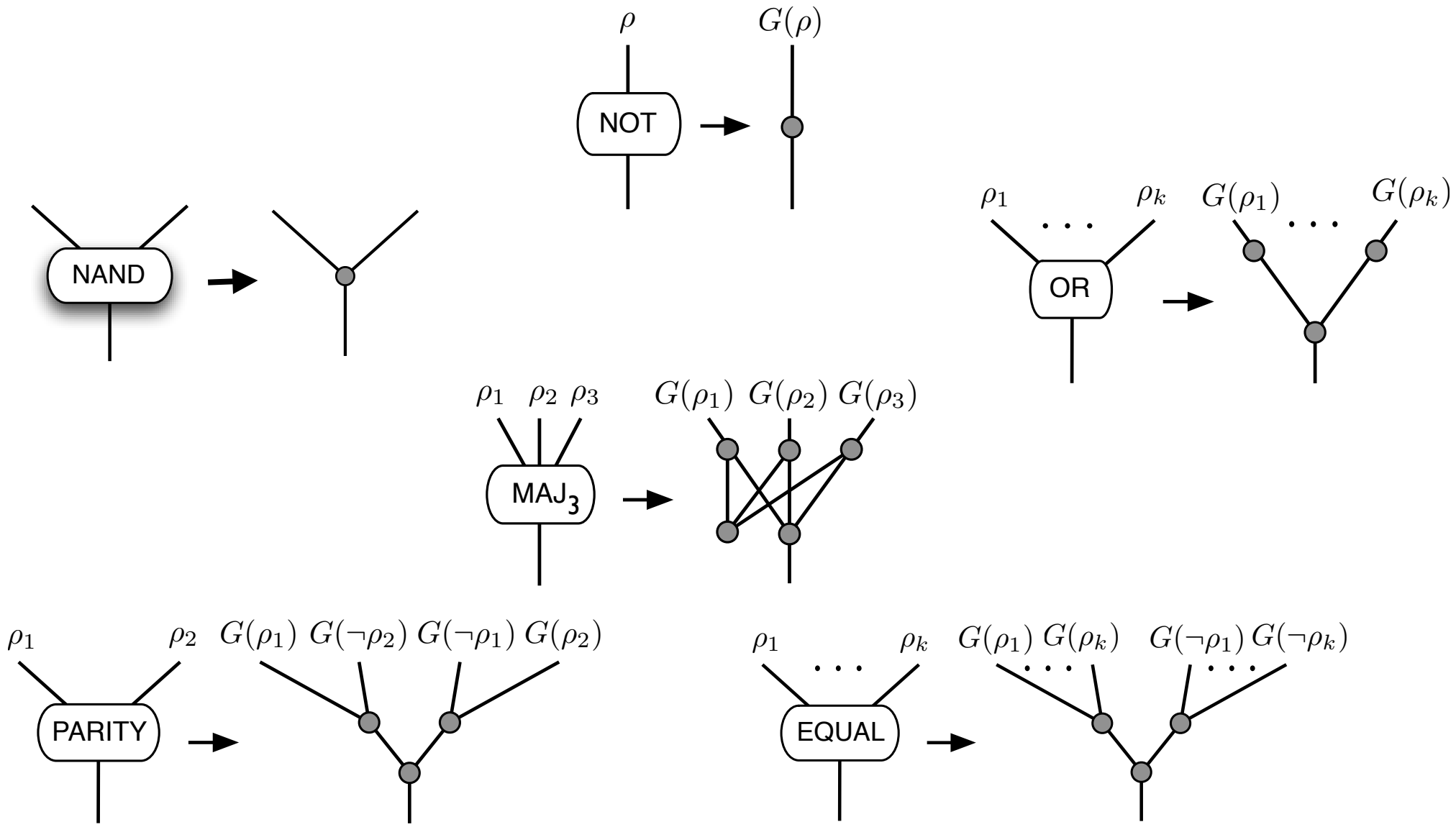
[RŠ '07] algorithm

- **Theorem:** A balanced (“adversary-bound-balanced”) formula φ over a gate set including all three-bit gates (and more...) can be evaluated in $O(\text{ADV}(\varphi))$ queries (optimal!).



- New: $O(2^d)$ -query quantum algorithm

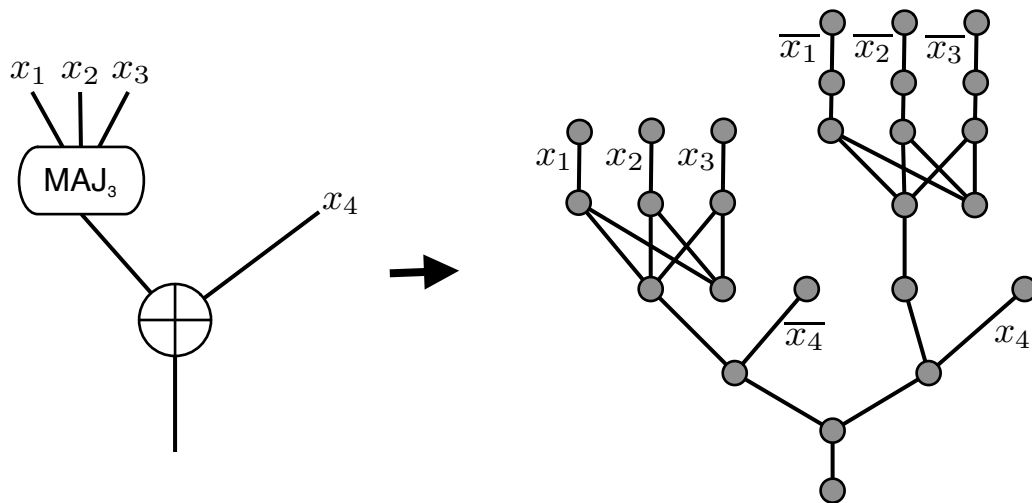
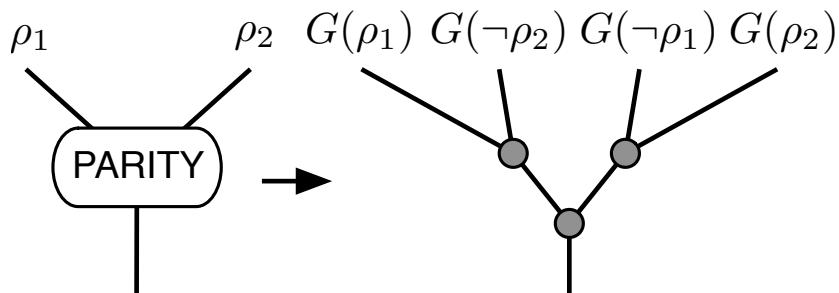
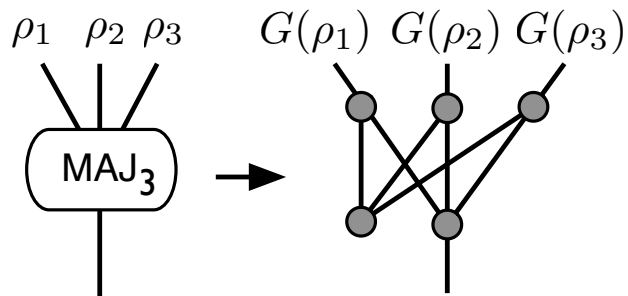
Converting formula into a tree



(with appropriate edge weights)

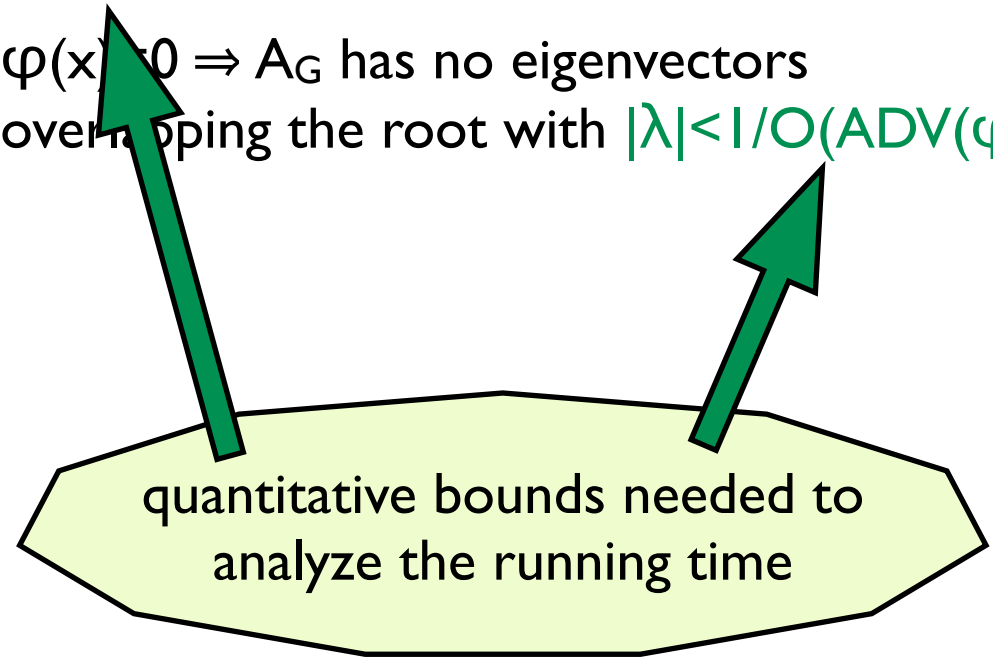
• Main Theorem:

- $\varphi(x)=1 \Rightarrow A_G$ has $\lambda=0$ eigenvector with $\Omega(1)$ support on the root.
- $\varphi(x)=0 \Rightarrow A_G$ has no eigenvectors overlapping the root with $|\lambda| < 1/O(\text{ADV}(\varphi))$.



- **Main Theorem:**

- $\varphi(x)=1 \Rightarrow A_G$ has $\lambda=0$ eigenvector with $\Omega(1)$ support on the root.
- $\varphi(x) \neq 0 \Rightarrow A_G$ has no eigenvectors overlapping the root with $|\lambda| < 1/O(\text{ADV}(\varphi))$.



- **Main Theorem:**

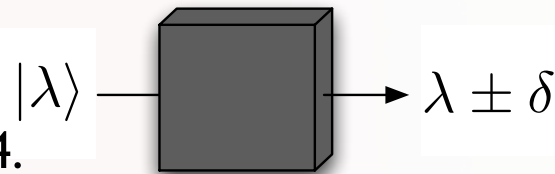
- $\varphi(x)=1 \Rightarrow A_G$ has $\lambda=0$ eigenvector with $\Omega(1)$ support on the root.
- $\varphi(x)=0 \Rightarrow A_G$ has no eigenvectors overlapping the root with $|\lambda| < 1/O(\text{ADV}(\varphi))$.

Fast Quantum Algorithm:

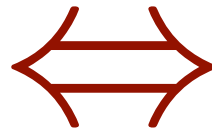
- Start at the root
- Apply **phase estimation** to the quantum walk with precision $1/O(\text{ADV}(\varphi))$
- If measured phase is 0, output “ $\varphi(x)=1$.”
Otherwise, output “ $\varphi(x)=0$.”

Running time
is $O(\text{ADV}(\varphi))$

Precision- δ phase estimation on a unitary U , starting at an e-state, returns the e-value to precision δ , except w/ prob. $1/4$. It uses $O(1/\delta)$ calls to c-U.

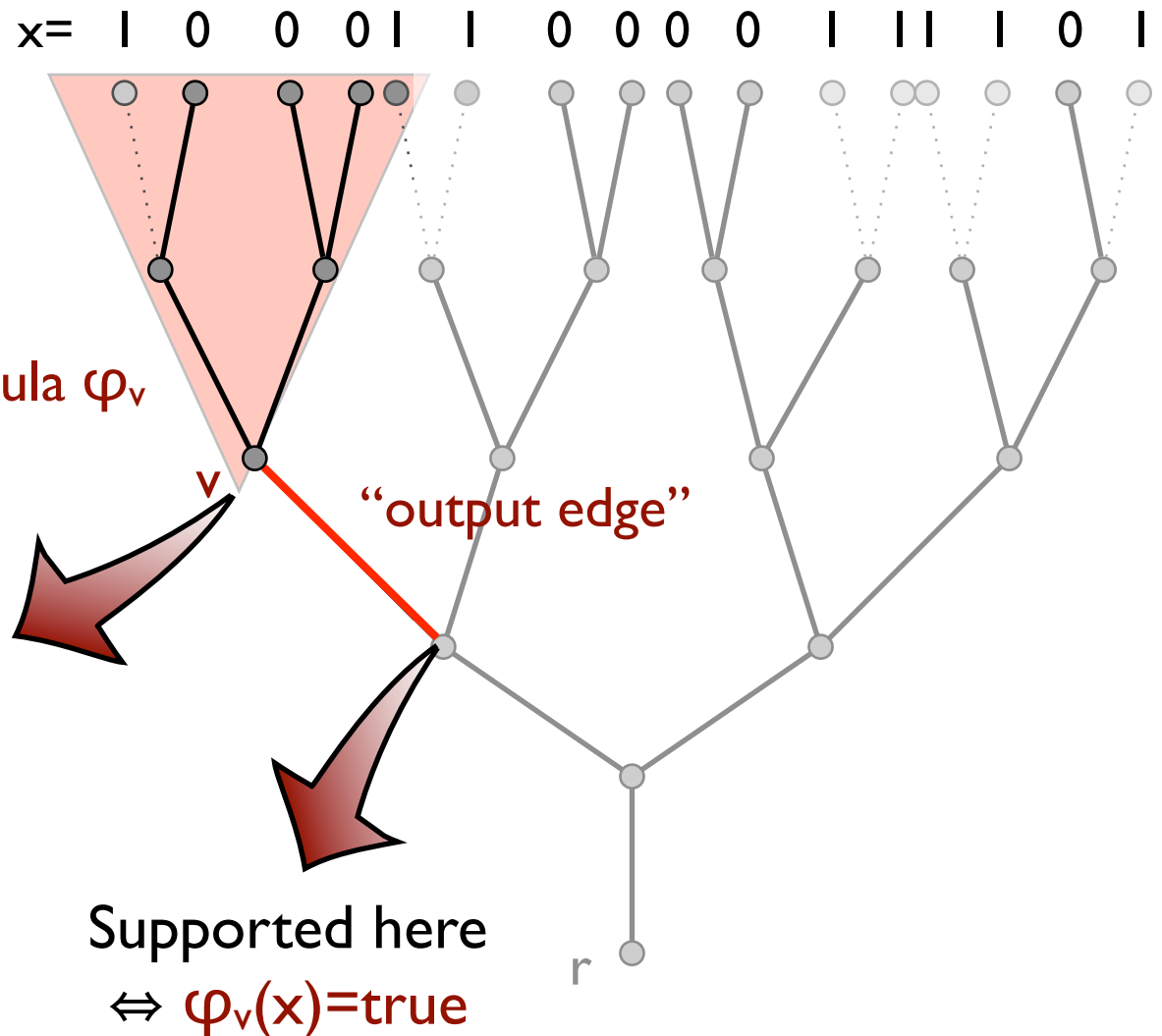


Computation of formula



Eigenvalue-zero eigenvector of tree

Induction Claim: Each edge gives a “dual-rail” encoding for the evaluation of the sub-formula above that edge...

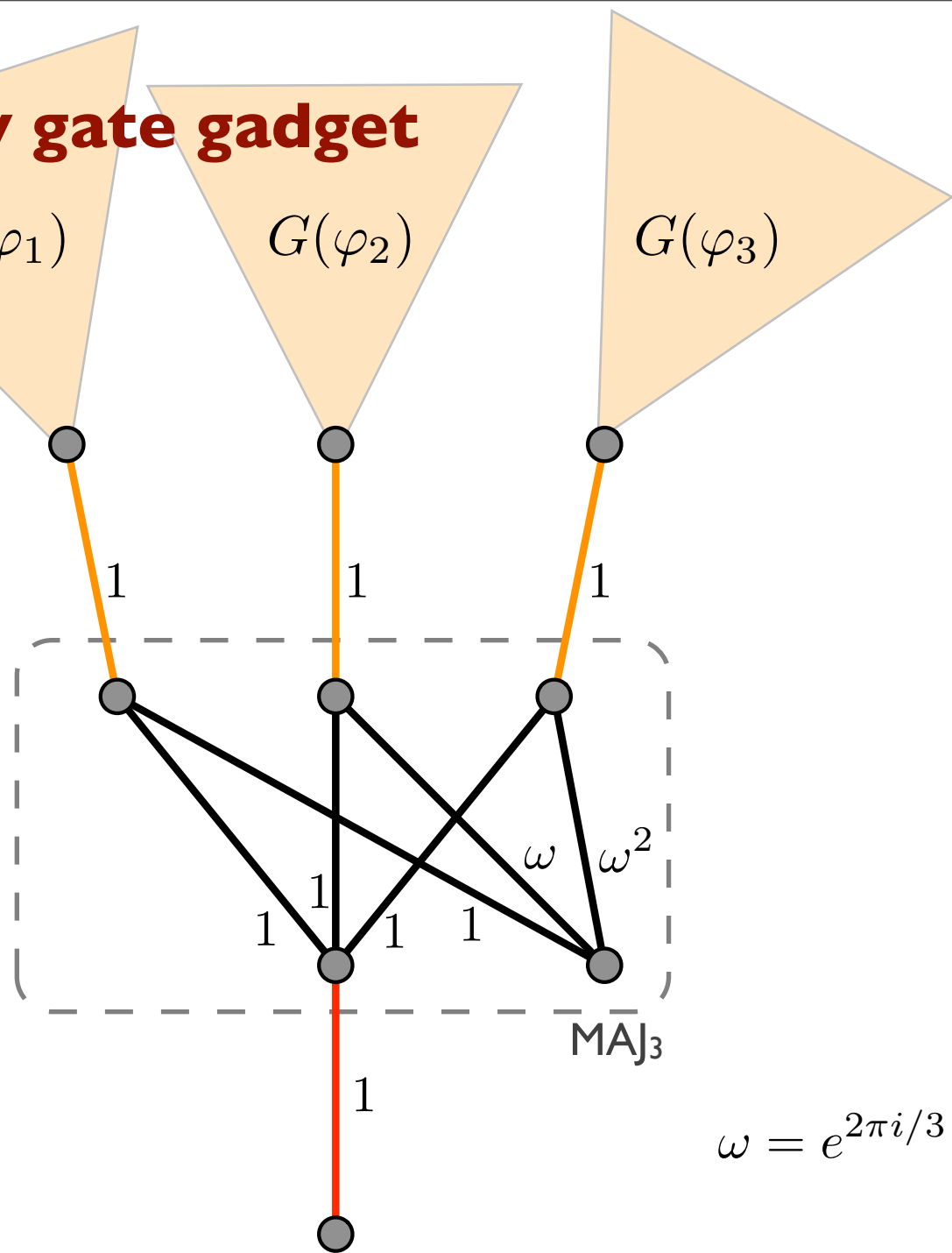
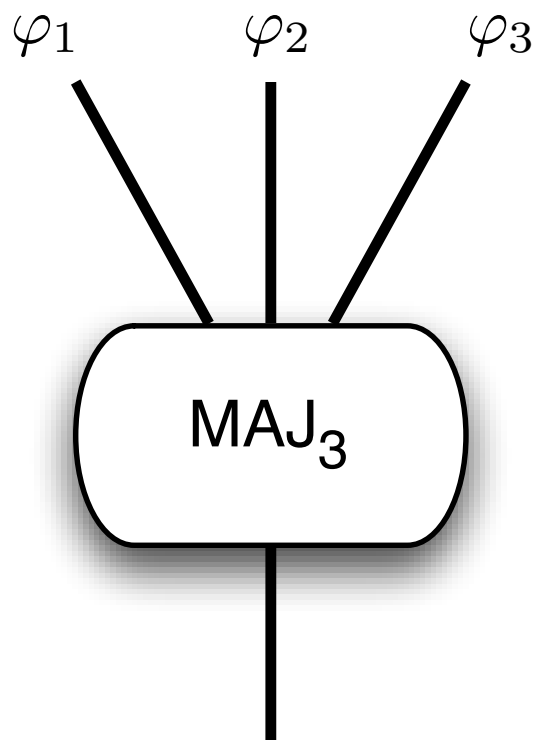


The $\lambda=0$ eigenvector of $G(\varphi_v, x)$ is:

Supported here
 $\Leftrightarrow \varphi_v(x)=\text{false}$

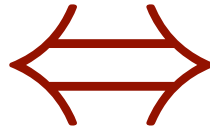
Supported here
 $\Leftrightarrow \varphi_v(x)=\text{true}$

3-Majority gate gadget

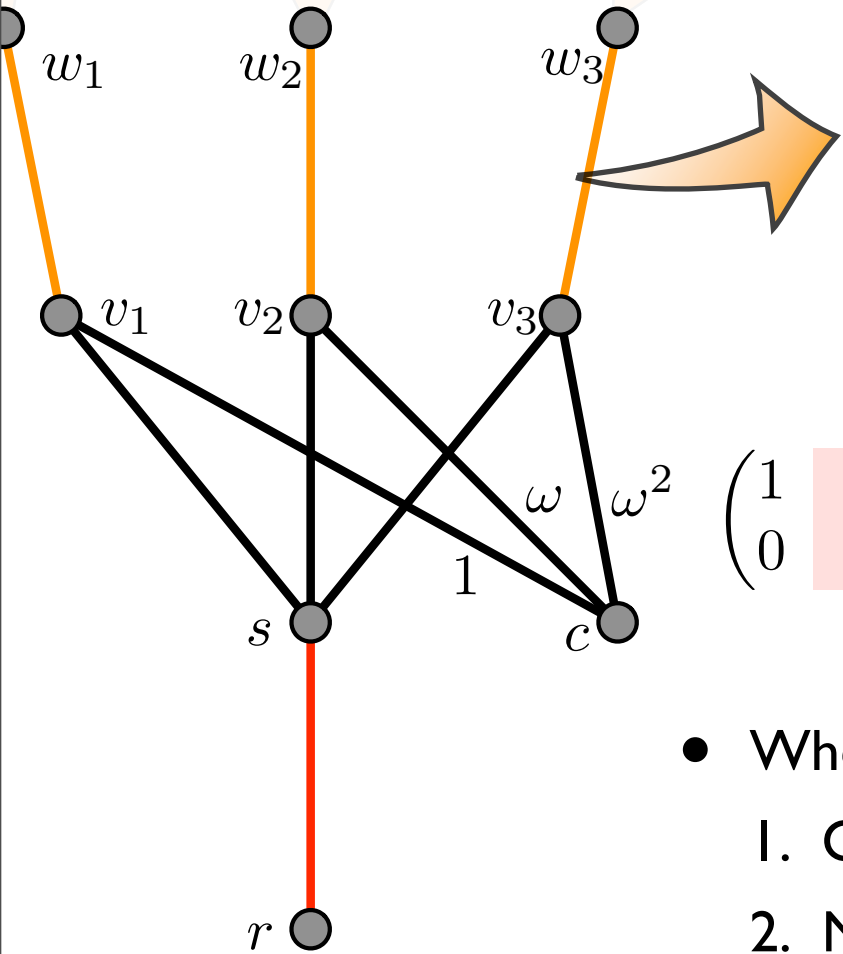


$$\omega = e^{2\pi i/3}$$

Computation of MAJ₃ gate



Eigenvalue-zero eigenvector of graph



- Induction hypothesis: $\lambda=0$ eigenvectors on sub-formula graphs $G(\varphi_i)$ compute the sub-formulas

- Constraints

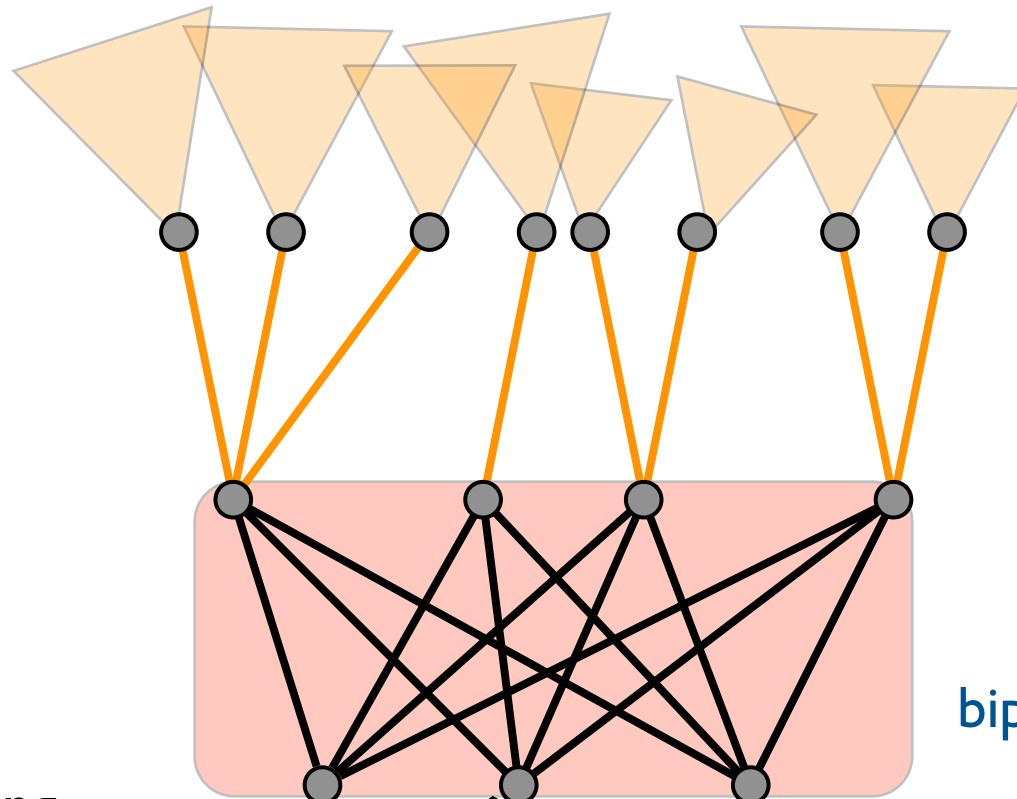
$$\begin{pmatrix} 1 & 1 & 1 & 1 \\ 0 & 1 & \omega & \omega^2 \end{pmatrix} \begin{pmatrix} \alpha_r \\ \alpha_{v_1} \\ \alpha_{v_2} \\ \alpha_{v_3} \end{pmatrix} = 0 = \begin{pmatrix} 1 & 1 & 1 & 0 & 0 \\ 1 & \omega^2 & 0 & 1 & 0 \\ 1 & \omega & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \alpha_s \\ \alpha_c \\ \alpha_{w_1} \\ \alpha_{w_2} \\ \alpha_{w_3} \end{pmatrix}$$

A_G

- When can α_r be nonzero (i.e., gadget evaluates to true)?
 1. Only depends on first constraint eq.'s $(\alpha_{v_1}, \alpha_{v_2}, \alpha_{v_3})$
 2. Need $\alpha_{v_1} + \alpha_{v_2} + \alpha_{v_3} \neq 0$, but $\alpha_{v_1} + \omega\alpha_{v_2} + \omega^2\alpha_{v_3} = 0$
 3. Can only have $\alpha_{v_i} \neq 0$ if input i evaluates to true
- At least two inputs φ_i must be true to satisfy both constraints nontrivially.

✓ MAJ₃

General graph gadgets



Input edges

Arbitrary weighted bipartite graph

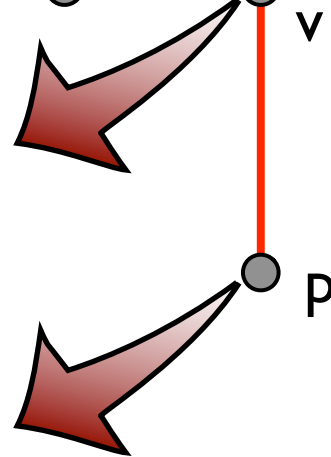
Output edge

Induction Claim: Each edge (p,v) gives a “dual-rail” encoding...

The $\lambda=0$ eigenvector of $G(\varphi_v, x)$ is:

Supported on v
 $\Leftrightarrow \varphi_v(x) = \text{false}$

Supported on p
 $\Leftrightarrow \varphi_v(x) = \text{true}$



Span program definition

- Substitution rules defining G come from *span programs*. [Karchmer, Wigderson '93]
- **Def:** A *span program* P is:
 - A *target vector* t in vector space V over \mathbf{C} ,
 - *Input vectors* v_j each associated with a literal from $\{x_1, \overline{x_1}, \dots, x_n, \overline{x_n}\}$

Span program P computes $f_P: \{0, 1\}^n \rightarrow \{0, 1\}$,
 $f_P(x) = 1 \Leftrightarrow t$ lies in the span of $\{ \text{true } v_j \}$

- **Ex. 1:** P :

$$t = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \begin{matrix} x_1 \\ \begin{pmatrix} 1 \\ a \end{pmatrix} \end{matrix} \quad \begin{matrix} x_2 \\ \begin{pmatrix} 1 \\ b \end{pmatrix} \end{matrix} \quad \begin{matrix} x_3 \\ \begin{pmatrix} 1 \\ c \end{pmatrix} \end{matrix}$$

with a, b, c distinct and nonzero.

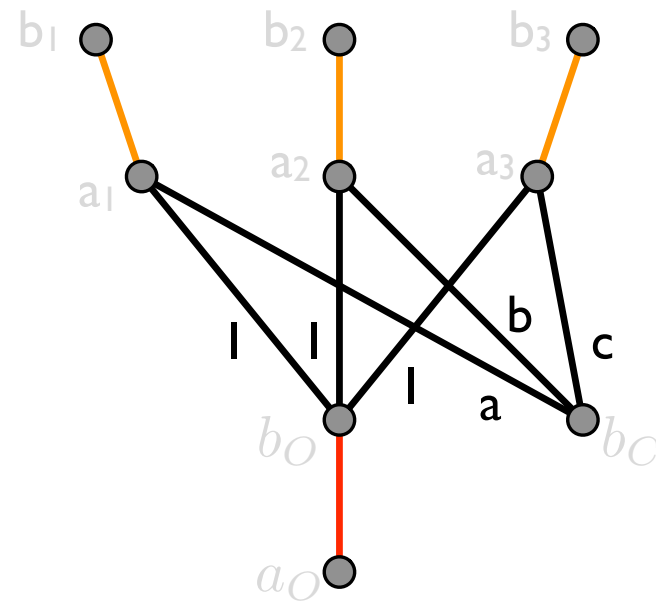
$$\Rightarrow f_P = \text{MAJ}_3$$

Span program \Leftrightarrow Bipartite graph gadget

with $t=(1,0,\dots,0)$

E.g., MAJ₃:

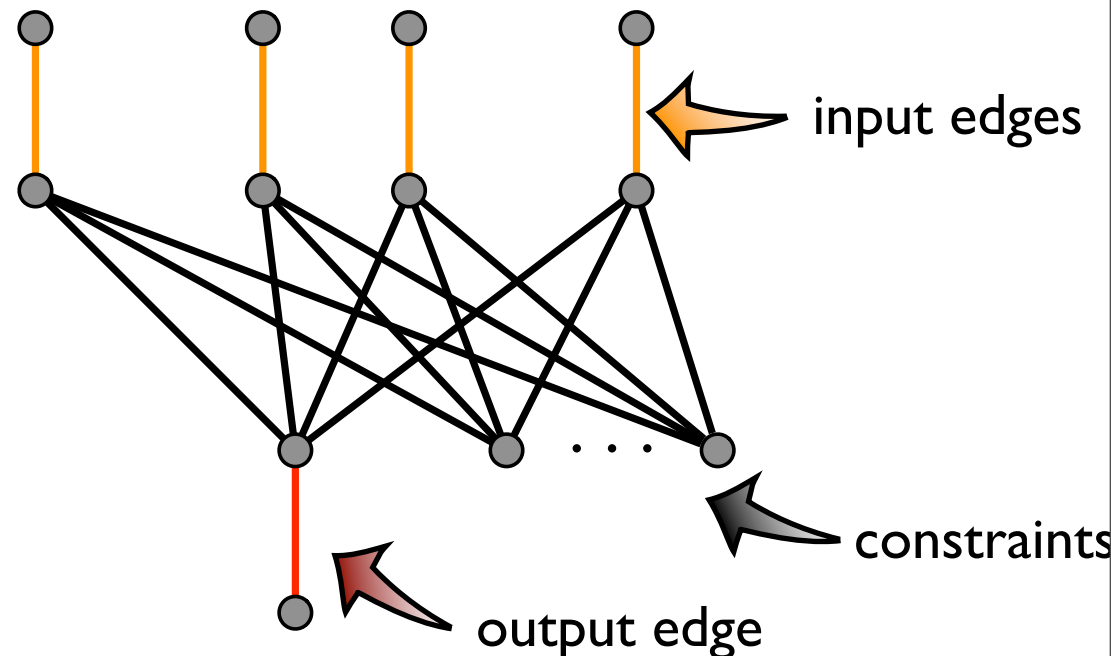
$$t = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \begin{matrix} x_1 & x_2 & x_3 \\ 1 & 1 & 1 \\ a & b & c \end{matrix}$$



In general:

$$t = \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}$$

A



Composing span programs

- Given span programs for g, h_1, \dots, h_k , immediately get s.p. for

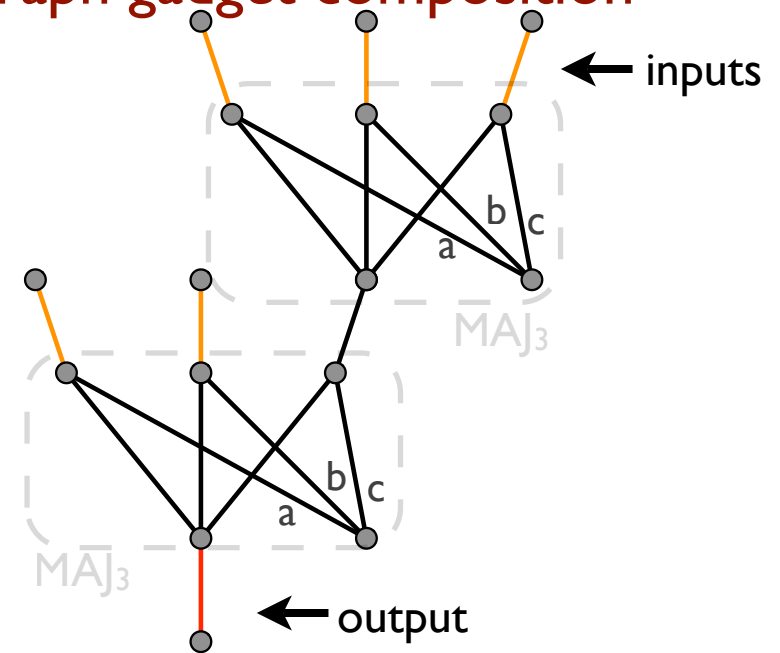
$$f = g \circ (h_1, \dots, h_k)$$

SP composition

- Ex.: $\text{MAJ}_3(x_1, x_2, \text{MAJ}_3(x_4, x_5, x_6))$:

\underline{t}	x_1	x_2	1	x_3	x_4	x_5
$\underline{1}$	1	1	1	0	0	0
0	a	b	c	0	0	0
0	0	0	1	1	1	1
0	0	0	0	a	b	c

Graph gadget composition



Composing span programs

- Given span programs for g, h_1, \dots, h_k , immediately get s.p. for

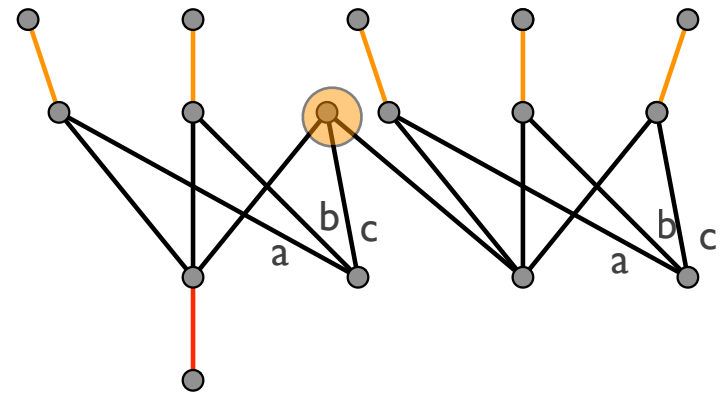
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SP composition

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\underline{t}	x_1	x_2	1	x_3	x_4	x_5
1	1	1	1	0	0	0
0	a	b	c	0	0	0
0	0	0	1	1	1	1
0	0	0	0	a	b	c

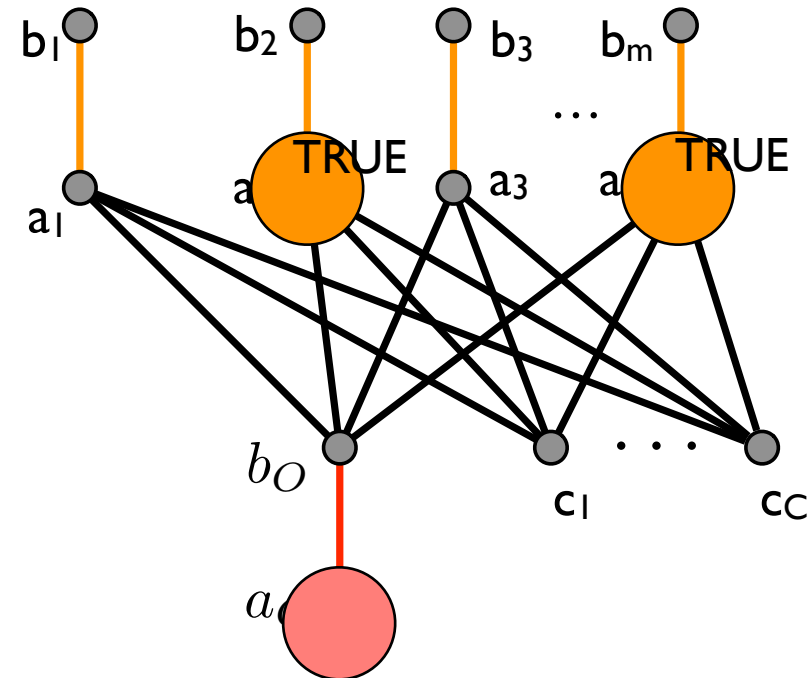
Graph gadget composition



Eigenvalue-zero lemmas

- **Define:** $G_P(x)$ by deleting edges to true input literals
- **Lemma:** $f_P(x)=1 \Leftrightarrow \exists \lambda=0$ eigenvector of $A_{G_P(x)}$ supported on a_0 .

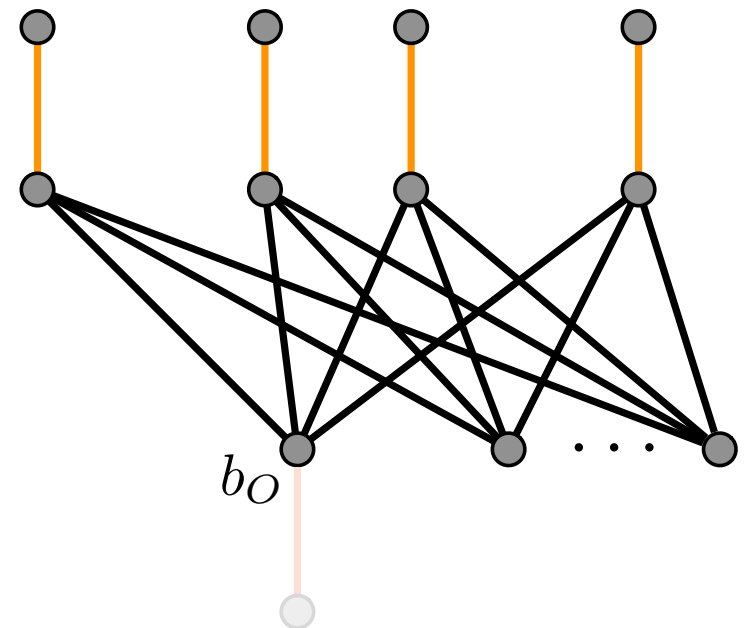
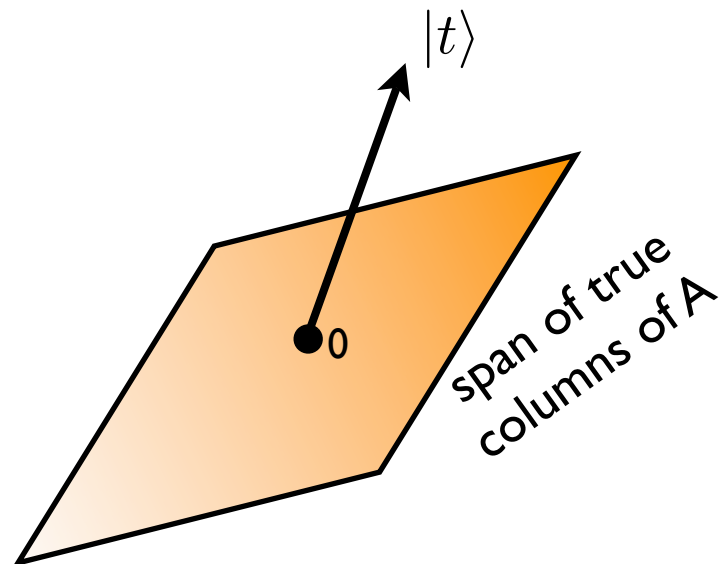
$$t = \begin{matrix} \text{red circle} \\ \left(\begin{array}{c} 1 \\ 0 \\ \vdots \\ 0 \end{array} \right) \end{matrix} \left(\begin{matrix} \text{orange circle} & \text{orange circle} \\ & \mathbf{A} \end{matrix} \right)$$



Eigenvalue-zero lemmas

- **Define:** $G_P(x)$ by deleting edges to true input literals
- **Lemma:** $f_P(x)=1 \Leftrightarrow \exists \lambda=0$ eigenvector of $A_{G_P(x)}$ supported on a_0 .
- **Lemma:** Delete output edge (a_0, b_0) . Then
 $f_P(x)=0 \Leftrightarrow \exists \lambda=0$ eigenvector supported on b_0 .

Proof: $f_P(x)$ is false $\Leftrightarrow |t\rangle$ not in span of true columns of A

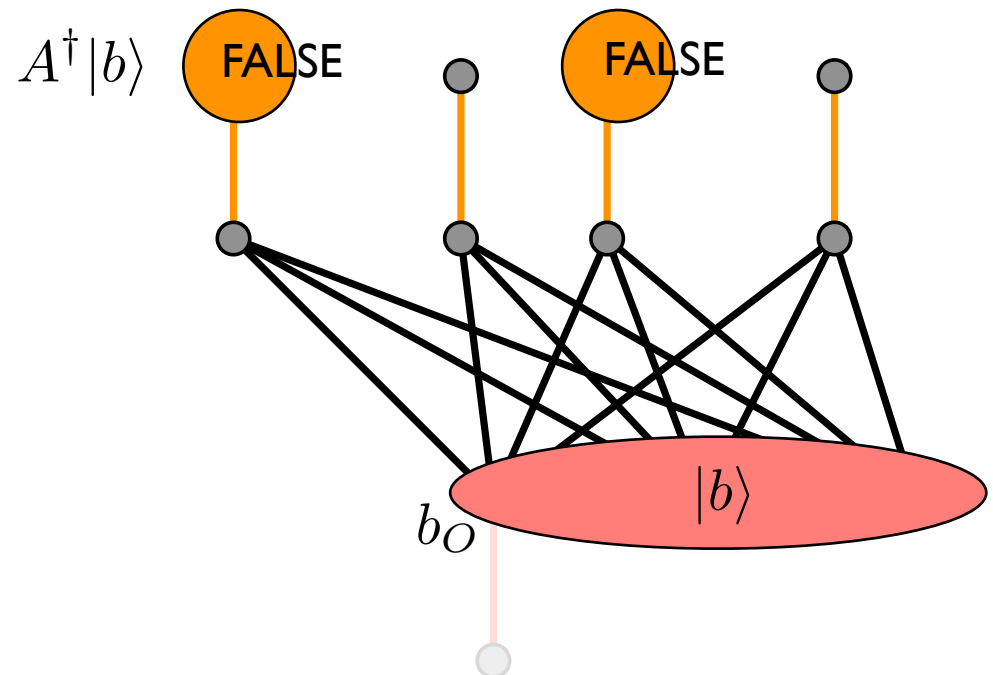
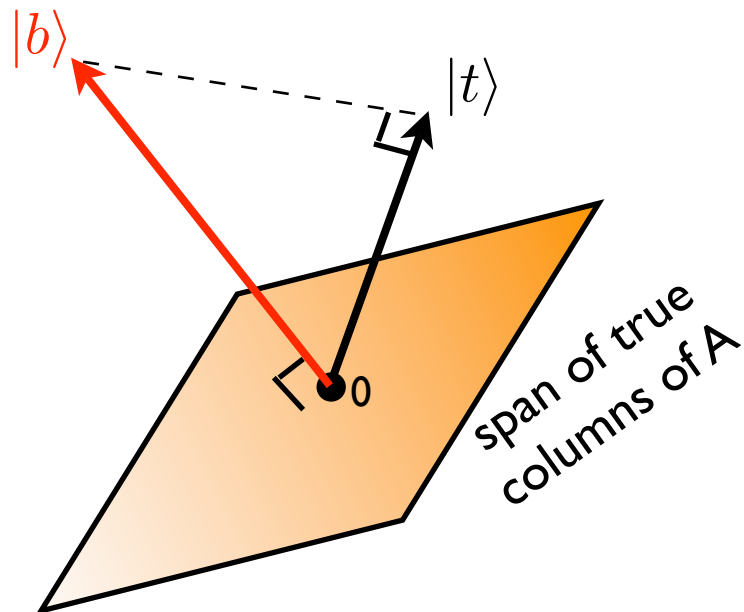


Eigenvalue-zero lemmas

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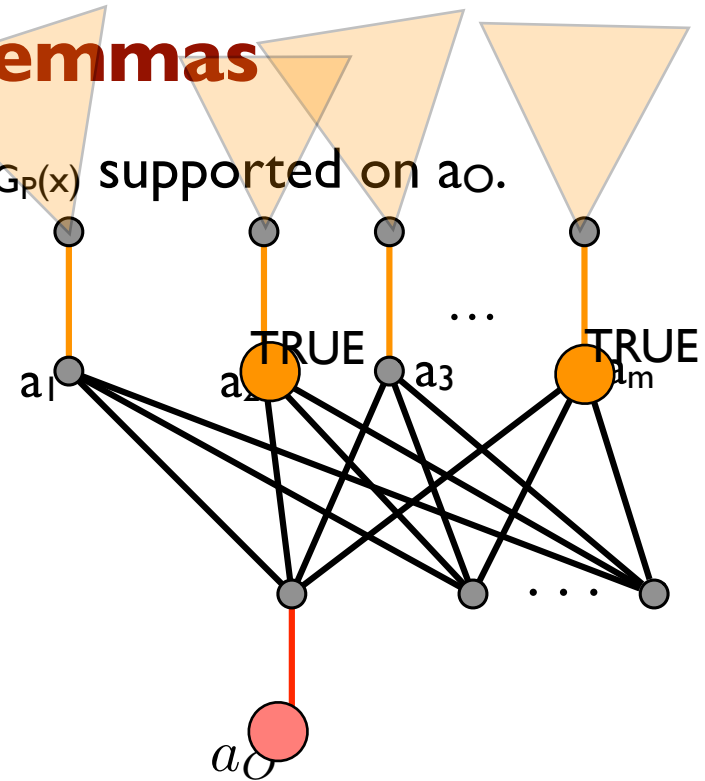
$\Leftrightarrow \exists |b\rangle$ with $\langle b|t\rangle=1$, orthogonal to all true columns of A



Quantitative Eigenvalue-zero lemmas

- **Lemma:** $f_P(x)=1 \Leftrightarrow \exists \lambda=0$ eigenvector of $A_{G_P(x)}$ supported on a_0 .

$$t = \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix} \quad \begin{pmatrix} \bullet & \bullet \\ & \mathbf{A} \end{pmatrix}$$



- Assume that $f(x)=1$, and that for all true inputs i , we have constructed normalized $\lambda=0$ eigenvectors with squared support $\geq \gamma$ on a_i .
Q: How large can we make $|a_0|^2$ in a normalized $\lambda=0$ eigenvector?
- **Answer:** Fix $a_0=1$ and try to minimize the eigenvector's norm. We want the **shortest witness vector**:

$$\min_{|w\rangle: \substack{\Pi|w\rangle=|w\rangle \\ A|w\rangle=|t\rangle}} \||w\rangle\|^2 = \|(A\Pi)^{-1}|t\rangle\|^2$$

Π = projection onto true input coords.

$:= \text{wsize}(P, x)$

Quantitative Eigenvalue-zero lemmas

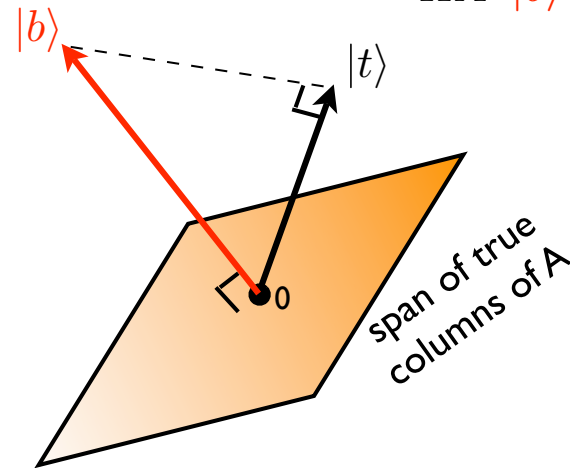
- **Assume:** For all inputs i we have constructed normalized $\lambda=0$ eigenvectors with squared support $\geq \gamma$ on a_i or b_i .

- **Lemma:** $f(x)=1 \Rightarrow \exists$ unit-normalized $\lambda=0$ eigenvector with

$$|a_0|^2 \geq \frac{\gamma}{\text{wsize}(P, x)} \quad \text{wsize}(P, x) := \min_{|w\rangle: \substack{\Pi|w\rangle=|w\rangle \\ A|w\rangle=|t\rangle}} \||w\rangle\|^2$$

- **Lemma:** $f(x)=0 \Rightarrow \exists$ unit-normalized $\lambda=0$ eigenvector with

$$|b_0|^2 \geq \frac{\gamma}{\text{wsize}(P, x)} \quad \text{wsize}(P, x) := \min_{|b\rangle: \substack{\langle t|b\rangle=1 \\ \Pi A^\dagger |b\rangle=|t\rangle}} \|A^\dagger |b\rangle\|^2$$



- **Def:** Witness size of P

$$\text{wsize}(P) = \max_x \text{wsize}(P, x)$$

Small $\lambda \neq 0$ analysis

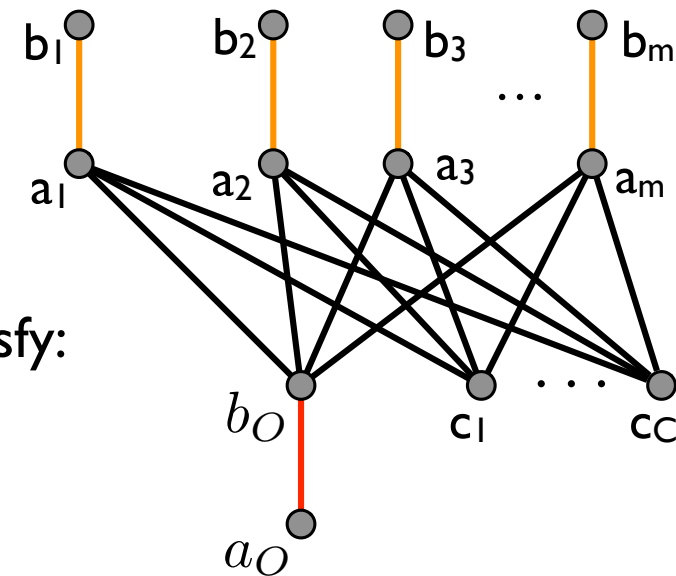
- Construct the eigenvectors starting at the leaves, and working down.

Eigenvector equations are

$$\lambda b_C = A_{CJ} a_J$$

$$\lambda b_O = A_{OJ} a_J + a_O$$

$$\lambda a_J = A_{IJ}^\dagger b_I + A_{OJ}^\dagger b_O + A_{CJ}^\dagger b_C$$



- Induction assumption:** Input ratios $r_i = a_i/b_i$ satisfy:

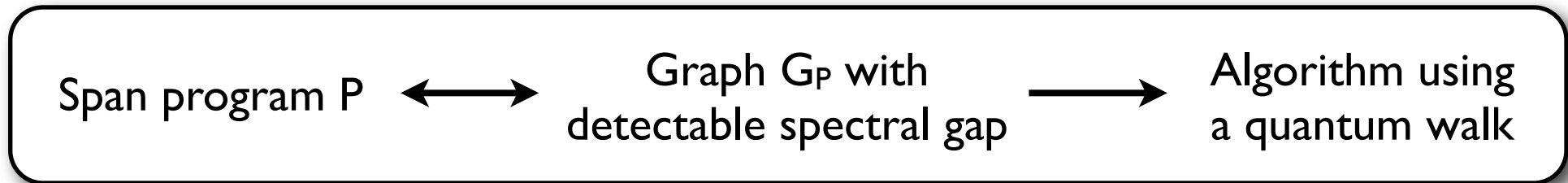
$$i \text{ false} \Rightarrow r_i \in (0, s_i \lambda)$$

$$i \text{ true} \Rightarrow r_i \in \left(-\infty, \frac{-1}{s_i \lambda} \right)$$

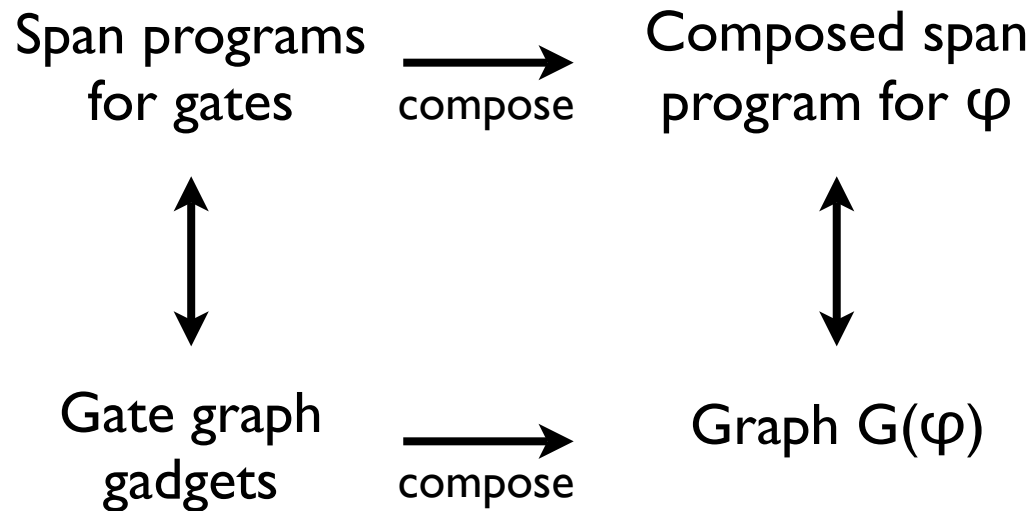
- Solve equations for $r_O = a_O/b_O$, apply Woodbury identity, expand the **Taylor series in λ** of the matrix inverse (on the range and its Schur complement separately), bound the higher-order terms, QED.
- The first-order term is the same as the factor **wsize(P, x)** lost in the $\lambda=0$ analysis (not so surprisingly)

Framework for quantum algorithms based on span programs:

- Quantum algorithm for evaluating “span programs”:



- Behaves well under composition/recursion:



- Possible extensions:** Interesting quantum algorithms based directly on asymptotically large span programs?

Summary of technical results

- **Def:** Let $S' = \{ \text{arbitrary two- or three-bit gates, } O(1)\text{-fan-in EQUAL gates} \}$
Let $S = \{ O(1)\text{-size } \{ \text{AND, OR, NOT, PARITY} \} \text{ formulas on inputs that are themselves possibly elements of } S' \}$
- E.g., $\text{MAJ}_3(x_1, x_2, x_3) \wedge (x_4 \oplus x_5 \oplus \cdots \oplus (x_{k-1} \vee x_k))$
- (Idea: Gates other than AND, OR, PARITY need to have balanced inputs.
AND, OR, PARITY gates can have constant-factor unbalanced inputs)

- **Def:** Read-once formula φ is “adversary-bound-balanced” if for each gate g , the adversary bounds for its input sub-formulas are all the same.
- **Main Theorem:** Any adversary-balanced formula φ over gate set S can be evaluated in $O(\text{ADV}(\varphi))$ queries.
Time complexity is the same, up to poly-log N factor, in coherent RAM model after preprocessing.

Questions?

3-bit gates

Gate	Adversary lower bound
0	0
x_1	1
$x_1 \wedge x_2$	$\sqrt{2}$
$x_1 \oplus x_2$	2
$x_1 \wedge x_2 \wedge x_3$	$\sqrt{3}$
$x_1 \oplus x_2 \oplus x_3$	3
$x_1 \oplus (x_2 \wedge x_3)$	$1 + \sqrt{2}$
$x_1 \vee (x_2 \wedge x_3)$	$\sqrt{3}$
$(x_1 \wedge x_2) \vee (\overline{x_1} \wedge x_3)$	2
$x_1 \vee (x_2 \wedge x_3) \vee (\overline{x_2} \wedge \overline{x_3})$	$\sqrt{5}$
$\text{MAJ}_3(x_1, x_2, x_3) = (x_1 \wedge x_2) \vee ((x_1 \vee x_2) \wedge x_3)$	2
$\text{MAJ}_3(x_1, x_2, x_3) \vee (\overline{x_1} \wedge \overline{x_2} \wedge \overline{x_3})$	$\sqrt{7}$
$\text{EQUAL}(x_1, x_2, x_3) = (x_1 \wedge x_2 \wedge x_3) \vee (\overline{x_1} \wedge \overline{x_2} \wedge \overline{x_3})$	$3/\sqrt{2}$
$(x_1 \wedge x_2 \wedge x_3) \vee (\overline{x_1} \wedge \overline{x_2})$	$\sqrt{3 + \sqrt{3}}$

Fact: $A(f \oplus g) = A(f) + A(g)$, $A(f \wedge g) = \sqrt{A(f)^2 + A(g)^2}$ if f, g have disjoint inputs.